

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | | |
|------------------|--|-----------------|----------|
| Applicant(s): | Nima Mokhlesi | | |
| Title: | Compressed Event Counting Technique and Application to a Flash Memory System | | |
| Application No.: | Unknown | Filing Date: | Herewith |
| Examiner: | Unknown | Group Art Unit: | Unknown |
| Docket No.: | SNDK.229US2 | Conf. No.: | Unknown |

November 19, 2003

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant calls the documents listed on the enclosed Form PTO-1449 to the Examiner's attention in this patent application.

- ☐ Copies of the documents listed on the accompanying Form PTO-1449 are enclosed.
- ☒ Copies of the documents listed on the accompanying Form PTO-1449 that are not enclosed were previously submitted in Application Nos. 10/10/033,222, filed December 27, 2001 and 09/662,032 filed September 14, 2000, from which this Application claims an earlier effective filing date.
- ☐ This application has a filing date after June 30, 2003. Copies of the U.S. Patent(s) and U.S. Published Patent Application(s) documents listed on the accompanying Form PTO-1449 are not enclosed.

Citation of these documents shall not be construed as (1) an admission that the documents are prior art with respect to the invention or inventions claimed in this application,

SNDK.229US2

Express Mail No.: 321705485 US


(2) a representation that a search has been made (other than as indicated by any cited document), or (3) an admission that the cited information is, or is considered to be, material to patentability as defined in § 1.56(b).

This information disclosure statement is submitted under 37 C.F.R. § 1.97(b) and consequently no fee should be required. The Commissioner is authorized, however, to charge any fee that may be required, or to credit any overpayment, against Deposit Account No. 502664. This form is being submitted in duplicate.

**EXPRESS MAIL
LABEL NO:**

EV 321705485 US

Respectfully submitted,



Gerald P. Parsons
Attorney for Applicants
Reg. No. 24,486

November 19, 2003

Date

PARSONS HSUE & DE RUNTZ LLP
655 Montgomery Street, Suite 1800
San Francisco, CA 94111
(415) 318-1160
(415) 693-0194 (fax)

| | | | | | | | | |
|--|--|--|--|--|----------------------------------|--|--------------------------|--|
| U.S. Department of Commerce, Patent and Trademark Office | | | | | Atty Docket No. M-10322-1C US | | Serial No. 10/033,222 | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | | | Applicant(s) | | | |
| (Use several sheets if necessary) | | | | | Nima Mokhlesi | | | |
| | | | | | Filing Date | | Group | |
| | | | | | December 27, 2001 | | 2818 | |

| U.S. Patent Documents | | | | | | | |
|-----------------------|-----|-----------------|------|------|-------|----------|----------------------------|
| *Examiner Initial | | Document Number | Date | Name | Class | Subclass | Filing Date If Appropriate |
| | A1 | | | | | | |
| | A2 | | | | | | |
| | A3 | | | | | | |
| | A4 | | | | | | |
| | A5 | | | | | | |
| | A6 | | | | | | |
| | A7 | | | | | | |
| | A8 | | | | | | |
| | A9 | | | | | | |
| | A10 | | | | | | |
| | A11 | | | | | | |

| Foreign Patent Documents | | | | | | | | |
|--------------------------|----|------------|---------------|---------|-------|----------|-------------|----|
| | | | | | | | Translation | |
| | | Document | Date | Country | Class | Subclass | Yes | No |
| | B1 | S61-100565 | June 30, 1986 | Japan | | | X | |
| | B2 | | | | | | | |
| | B3 | | | | | | | |
| | B4 | | | | | | | |
| | B5 | | | | | | | |

| OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) | | |
|--|----|--|
| | C1 | |
| | C2 | |
| | C3 | |

| | |
|----------|-----------------|
| Examiner | Date Considered |
|----------|-----------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

| | | | | | | | | |
|---|----|---|-----------------|-------------------|-------|------------------|----------------------------|----|
| U.S. Department of Commerce, Patent and Trademark Office | | | | Atty Docket No. | | Application No. | | |
| | | | | M-10322-1C US | | 10/033,222 | | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | | Applicant | | Confirmation No. | | |
| (Use several sheets if necessary) | | | | Nima Mokhlesi | | 7883 | | |
| | | | | Filing Date | | Art Unit Group | | |
| | | | | December 27, 2001 | | 2818 | | |
| U.S. Patent Documents | | | | | | | | |
| *Examiner Initial | | Document Number | Date | Name | Class | Subclass | Filing Date If Appropriate | |
| | AA | 6,388,259 | May 14, 2002 | Murdock | | | | |
| | AB | | | | | | | |
| | AC | | | | | | | |
| | AD | | | | | | | |
| | AE | | | | | | | |
| | AF | | | | | | | |
| | AG | | | | | | | |
| | AH | | | | | | | |
| | AI | | | | | | | |
| | AJ | | | | | | | |
| | AK | | | | | | | |
| Foreign Patent Documents | | | | | | | | |
| | | | | | | | Translation | |
| | | Document | Date | Country | Class | Subclass | Yes | No |
| | AL | WO 00/16182 | Mar. 23, 2000 | WIPO | | | x | |
| | AM | | | | | | | |
| | AN | | | | | | | |
| | AO | | | | | | | |
| | AP | | | | | | | |
| OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | | |
| | AQ | "Notification of Transmittal of the International Search Report or the Declaration" from corresponding PCT application, mailing date October 22, 2002, 6 pages. | | | | | | |
| | AR | | | | | | | |
| | AS | | | | | | | |
| Examiner | | | Date Considered | | | | | |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant. | | | | | | | | |

Express Mail No.: EV212984245US

Notic of Referenc s Cited

Application/Control No.

09/662,032

Applicant(s)/Patent Under
Reexamination
MOKHLESI, NIMA

Examiner

David Lam

Art Unit

2818

Page 1 of 1

U.S. PATENT DOCUMENTS

| * | | Document Number Country Code-Number-Kind Code | Date MM-YYYY | Name | Classification | |
|---|---|--|-----------------|------------|----------------|--------|
| * | A | US-5,568,423- | 10-1996 | Jou et al. | 365 | 185.33 |
| | B | US- - | | | | |
| | C | US- - | | | | |
| | D | US- - | | | | |
| | E | US- - | | | | |
| | F | US- - | | | | |
| | G | US- - | | | | |
| | H | US- - | | | | |
| | I | US- - | | | | |
| | J | US- - | | | | |
| | K | US- - | | | | |
| | L | US- - | | | | |
| | M | US- - | | | | |

FOREIGN PATENT DOCUMENTS

| * | | Document Number Country Code-Number-Kind Code | Date MM-YYYY | Country | Name | Classification | |
|---|---|--|-----------------|---------|----------|----------------|-------|
| * | N | JP-401205792A- | 08-1989 | JAPAN | Furukawa | G11C | 17/00 |
| | O | - - | | | | | |
| | P | - - | | | | | |
| | Q | - - | | | | | |
| | R | - - | | | | | |
| | S | - - | | | | | |
| | T | - - | | | | | |

NON-PATENT DOCUMENTS

| * | | Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) |
|---|---|---|
| | U | |
| | V | |
| | W | |
| | X | |

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

| | | | | | | | | |
|---|-----|---|---------------|----------------------|--------------------|----------|----------------------------|----|
| U.S. Department of Commerce, Patent and Trademark Office | | | | | Atty Docket No. | | Serial No. | |
| | | | | | 11587-M-10322 US | | 09/662,032 | |
| OIP INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | | | Applicant(s) | | | |
| (Use several sheets if necessary) | | | | | Nima Mokhlesi | | | |
| MAR 09 2001 | | | | | Filing Date | | Group | |
| | | | | | September 14, 2000 | | 2818 | |
| U.S. Patent Documents | | | | | | | | |
| *Examiner Initial | | Document Number | Date | Name | Class | Subclass | Filing Date If Appropriate | |
| DL | A1 | 5,043,940 | Aug. 27, 1991 | Harari | | | | |
| | A2 | 5,172,338 | Dec. 15, 1992 | Mehrotra et al. | | | | |
| | A3 | 5,200,959 | Apr. 6, 1993 | Gross et al. | | | | |
| | A4 | 5,270,979 | Dec. 14, 1993 | Harari et al. | | | | |
| | A5 | 5,315,541 | May 24, 1994 | Harari et al. | | | | |
| | A6 | 5,341,339 | Aug. 23, 1994 | Wells | | | | |
| | A7 | 5,369,754 | Nov. 29, 1994 | Fandrich et al. | | | | |
| | A8 | 5,428,621 | Jun. 27, 1995 | Mehrotra et al. | | | | |
| | A9 | 5,430,859 | Jul. 4, 1995 | Norman et al. | | | | |
| | A10 | 5,532,962 | Jul. 2, 1996 | Auclair et al. | | | | |
| | A11 | 5,602,987 | Feb. 11, 1997 | Harari et al. | | | | |
| | A12 | 5,625,791 ✓ | Apr. 29, 1997 | Farrugia et al. | | | | |
| | A13 | 5,663,901 | Sep. 2, 1997 | Wallace et al. | | | | |
| | A14 | 5,712,180 | Jan. 27, 1998 | Guterman et al. | | | | |
| DL | A15 | 6,081,447 | Jun. 27, 2000 | Lofgren et al. | | | | |
| Foreign Patent Documents | | | | | | | | |
| | | | | | | | Translation | |
| | | Document | Date | Country | Class | Subclass | Yes | No |
| | B1 | | | | | | | |
| OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | | |
| DL | C1 | Ross, "Stochastic Processes", 1993, by John Wiley & Sons, Inc., pp. 1-141 | | | | | | |
| | C2 | | | | | | | |
| | C3 | | | | | | | |
| Examiner <i>[Signature]</i> | | | | Date Considered 6/01 | | | | |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant. | | | | | | | | |

Notice of References Cited

Application/Control No.

08/123,456

Applicant(s)/Patent Under
Reexamination
BYLUND ET AL

Examiner

David Lam

Art Unit

2818

Page 1 of 1

U.S. PATENT DOCUMENTS

| * | | Document Number Country Code-Number-Kind Code | Date MM-YYYY | Name | Classification | |
|---|---|--|-----------------|------------|----------------|--------|
| | A | US-6,345,001 | 02-2002 | Mokhlesi | 365 | 185.33 |
| | B | US-5,568,423 | 10-1996 | Jou et al. | 365 | 185.33 |
| | C | US- | | | | |
| | D | US- | | | | |
| | E | US- | | | | |
| | F | US- | | | | |
| | G | US- | | | | |
| | H | US- | | | | |
| | I | US- | | | | |
| | J | US- | | | | |
| | K | US- | | | | |
| | L | US- | | | | |
| | M | US- | | | | |

FOREIGN PATENT DOCUMENTS

| * | | Document Number Country Code-Number-Kind Code | Date MM-YYYY | Country | Name | Classification | |
|---|---|--|-----------------|---------|------|----------------|--|
| | N | | | | | | |
| | O | | | | | | |
| | P | | | | | | |
| | Q | | | | | | |
| | R | | | | | | |
| | S | | | | | | |
| | T | | | | | | |

NON-PATENT DOCUMENTS

| * | | Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) |
|---|---|---|
| | U | |
| | V | |
| | W | |
| | X | |

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.